C1.2 ECC3 Contract Data

# Part one - Data provided by the *Employer*

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| Clause | Statement | Data |
| 1 | General |  |
|  | The *conditions of contract* are the core clauses and the clauses for main Option |  |
|  |  | **B: Priced contract with bill of quantities** |
|  | dispute resolution Option | **W1: Dispute resolution procedure** |
|  | and secondary Options |  |
|  |  | **X2 Changes in the law** |
|  |  | **X7: Delay damages** |
|  |  | **X15: Limitation of *Contractor’s* liability for design to reasonable skill and care** |
|  |  | **X16: Retention** |
|  |  | X18: Limitation of liability |
|  |  | Z: *Additional conditions of contract* |
|  | of the NEC3 Engineering and Construction Contract, April 2013 (ECC3) | If 2005 Edition is to be used delete “April 2013” and replace with “June 2005 with amendments June 2006”. Always delete this note before finalising this Data |
| 10.1 | The *Employer* is (Name): | **Eskom Holdings SOC Ltd (reg no: 2002/015527/30), a state owned company incorporated in terms of the company laws of the Republic of South Africa** |
| Address | **Registered office at Megawatt Park, Maxwell Drive, Sandton, Johannesburg** |
| 10.1 | The *Project Manager* is: (Name) | **Johan Botha** |
|  | Address | **Matimba Power Station**  **Private Bag X 251**  **Ellisras**  **0555** |
|  | Tel | **014 763 8225** |
|  | Fax |  |
|  | e-mail | [**BothaJH@eskom.co.za**](mailto:BothaJH@eskom.co.za) |
| 11.2(13) | The *works* are | **Nelsonskop Sewage Plant Upgrade** |
| 11.2(14) | The following matters will be included in the Risk Register | * **Property damage due to installation.** * **Material delivery delays.** * **Any other risks identified during the execution of this contract.** |
| 11.2(15) | The *boundaries of the site* are | **Nelsonskop Sewage Plant** |
| 11.2(16) | The Site Information is in | **Part 4: Site Information** |
| 11.2(19) | The Works Information is in | **Part 3: Scope of Work and all documents and drawings to which it makes reference.** |
| 12.2 | The *law of the contract* is the law of | **the Republic of South Africa** |
| 13.1 | The *language of this contract* is | **English** |
| 13.3 | The *period for reply* is | **Two Days** |
| 2 | The *Contractor's* main responsibilities | **Data required by this section of the core clauses is provided by the *Contractor* in Part 2 and terms in italics used in this section are identified elsewhere in this Contract Data.** |
| 3 | Time |  |
| 11.2(3) | The *completion date* for the whole of the *works* is | **31 March 2023** |
| 31.1 | The *Contractor* is to submit a first programme for acceptance within | **One week of the Contract Date.** |
| 31.2 | The *starting date* is | **1 September 2021** |
| 32.2 | The *Contractor* submits revised programmes at intervals no longer than | **One week.** |
| 35.1 | The *Employer* is not willing to take over the *works* before the Completion Date. | **Employer take-over of the *works* will commence upon issuing of completion certificate.** |
| 4 | Testing and Defects |  |
| 42.2 | The *defects date* is | **Fifty two weeks after Completion of the whole of the *works*.** |
| 43.2 | The *defect correction period* is | **Two weeks** |
| 5 | Payment |  |
| 50.1 | The *assessment interval* is | **On the twenty fifth day of each successive month.** |
| 51.1 | The *currency of this contract* is the | **South African Rand.** |
| 51.2 | The period within which payments are made is | **Thirty days.** |
| 51.4 | The *interest rate* is | **the publicly quoted prime rate of interest (calculated on a 365 day year) charged from time to time by the Standard Bank of South Africa Limited (as certified, in the event of any dispute, by any manager of such bank, whose appointment it shall not be necessary to prove) for amounts due in Rand and**  **(ii) the LIBOR rate applicable at the time for amounts due in other currencies. LIBOR is the 6 month London Interbank Offered Rate quoted under the caption “Money Rates” in The Wall Street Journal for the applicable currency or if no rate is quoted for the currency in question then the rate for United States Dollars, and if no such rate appears in The Wall Street Journal then the rate as quoted by the Reuters Monitor Money Rates Service (or such service as may replace the Reuters Monitor Money Rates Service) on the due date for the payment in question, adjusted *mutatis mutandis* every 6 months thereafter and as certified, in the event of any dispute, by any manager employed in the foreign exchange department of The Standard Bank of South Africa Limited, whose appointment it shall not be necessary to prove.** |
| 6 | Compensation events |  |
| 60.1(13) | Assumed values for the ten year return *weather data* for each *weather measurement* for each calendar month are: | **As stated in Annexure A to this Contract Data provided by the *Employer*.** |
| 7 | Title | **There is no reference to Contract Data in this section of the core clauses and terms in italics used in this section are identified elsewhere in this Contract Data.** |
| 8 | Risks and insurance |  |
| 84.1 | The *Employer* provides these insurances from the Insurance Table | **as stated for “Format A” available on** *http://www.eskom.co.za/Tenders/InsurancePoliciesProcedures/Pages/EIMS\_Policies\_*  *From\_1\_April\_2014\_To\_31\_March\_2015.aspx*  **(See** **Annexure B for basic guidance)** |
| 84.2 | The minimum limit of indemnity for insurance in respect of loss of or damage to property (except the *works*, Plant, Materials and Equipment) and liability for bodily injury to or death of a person (not an employee of the *Contractor*) caused by activity in connection with this contract for any one event is | **whatever the *Contractor* deems necessary in addition to that provided by the *Employer*.** |
| 84.2 | The minimum limit of indemnity for insurance in respect of death of or bodily injury to employees of the *Contractor* arising out of and in the course of their employment in connection with this contract for any one event is | **As prescribed by the Compensation for Occupational Injuries and Diseases Act No. 130 of 1993 and the *Contractor’s* common law liability for people falling outside the scope of the Act with a limit of Indemnity of not less than R500 000 (Five hundred thousand Rands).** |
| 9 | Termination | **In event of Contract termination, NEC3 Termination clauses shall be in effect.** |
| 10 | Data for main Option clause |  |
| **A** | **Priced contract with activity schedule** | **Detailed in Section C2.** |
| 11 | Data for Option W1 |  |
| W1.1 | The *Adjudicator* is | **the person selected from the ICE-SA Division (or its successor body) of the South African Institution of Civil Engineering Panel of Adjudicators by the Party intending to refer a dispute to him. (see** [**www.ice-sa.org.za**](http://www.ice-sa.org.za)**). If the Parties do not agree on an Adjudicator the Adjudicator will be appointed by the Arbitration Foundation of Southern Africa (AFSA).** |
| W1.2(3) | The *Adjudicator nominating body* is: | **the Chairman of ICE-SA a joint Division of the South African Institution of Civil Engineering and the London Institution of Civil Engineers. (See** [**www.ice-sa.org.za**](http://www.ice-sa.org.za) **) or its successor body.** |
| W1.4(2) | The *tribunal* is: | **arbitration.** |
| W1.4(5) | The *arbitration procedure* is | **the latest edition of Rules for the Conduct of Arbitrations published by The Association of Arbitrators (Southern Africa) or its successor body.** |
|  | The place where arbitration is to be held is | **Ellisras, South Africa** |
|  | The person or organisation who will choose an arbitrator   * if the Parties cannot agree a choice or * if the arbitration procedure does not state who selects an arbitrator, is | **the Chairman for the time being or his nominee of the Association of Arbitrators (Southern Africa) or its successor body.** |
| 12 | Data for secondary Option clauses |  |
| **X2** | **Changes in the law** | **There is no reference to Contract Data in this Option and terms in italics are identified elsewhere in this Contract Data.** |
| **X7** | **Delay damages (but not if Option X5 is also used)** |  |
| X7.1 | Delay damages for Completion of the whole of the *works* are | **R5,000.00 per day up to a limit of 10% of the contract value** |
| **X15** | **Limitation of the *Contractor*’s liability for his design to reasonable skill & care** | **There is no reference to Contract Data in this Option and terms in italics are identified elsewhere in this Contract Data.** |
| **X16** | **Retention** |  |
| X16.1 | The *retention percentage* is | **10%** |
| **X18** | **Limitation of liability** |  |
| X18.1 | The *Contractor*’s liability to the *Employer* for indirect or consequential loss is limited to: | **R0.0 (zero Rand)** |
| X18.2 | For any one event, the *Contractor*’s liability to the *Employer* for loss of or damage to the *Employer*’s property is limited to: | **the amount of the deductibles relevant to the event described in the insurance policy format selected in the data for clause 84.1 above, which policy is available on** *http://www.eskom.co.za/Tenders/InsurancePoliciesProcedures/Pages/EIMS\_Policies\_*  *From\_1\_April\_2014\_To\_31\_March\_2015.aspx* |
| X18.3 | The *Contractor*’s liability for Defects due to his design which are not listed on the Defects Certificate is limited to | **The greater of**   * **the total of the Prices at the Contract Date**   **and**   * **the amounts excluded and unrecoverable from the *Employer*’s assets policy for correcting the Defect (other than the resulting physical damage which is not excluded) plus R15M first amount payable in terms of the *Employer*’s assets policy.** |
| X18.4 | The *Contractor*’s total liability to the *Employer* for all matters arising under or in connection with this contract, other than excluded matters, is limited to: | **the total of the Prices other than for the additional excluded matters.**  **The *Contractor’s* total liability for the additional excluded matters is not limited.**  **The additional excluded matters are amounts for which the *Contractor* is liable under this contract for**   * **Defects due to his design which arise before the Defects Certificate is issued,** * **Defects due to manufacture and fabrication outside the Site,** * **loss of or damage to property (other than the *works*, Plant and Materials),** * **death of or injury to a person and** * **infringement of an intellectual property right.** |
| X18.5 | The *end of liability date* is | **(i) One year after the *defects date* for latent Defects and**  **(ii) the date on which the liability in question prescribes in accordance with the Prescription Act No. 68 of 1969 (as amended or in terms of any replacement legislation) for any other matter.**  **A latent Defect is a Defect which would not have been discovered on reasonable inspection by the *Employer* or the *Supervisor* before the *defects date*, without requiring any inspection not ordinarily carried out by the *Employer* or the *Supervisor* during that period.**  **If the *Employer* or the *Supervisor* do undertake any inspection over and above the reasonable inspection, this does not place a greater responsibility on the *Employer* or the *Supervisor* to have discovered the Defect.** |

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| **Z** | | **The *Additional conditions of contract* are** | **Z1 to Z12 always apply.** |
| **Z1** | **Cession delegation and assignment** | | |
| Z1.1 | The *Contractor* does notcede, delegate or assign any of its rights or obligations to any person without the written consent of the *Employer.* | | |
| Z1.2 | Notwithstanding the above, the *Employer* may on written notice to the *Contractor* cede and delegate its rights and obligations under this contract to any of its subsidiaries or any of its present divisions or operations which may be converted into separate legal entities as a result of the restructuring of the Electricity Supply Industry. | | |
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| **Z2** | **Joint ventures** | | |
| Z2.1 | If the *Contractor* constitutes a joint venture, consortium or other unincorporated grouping of two or more persons or organisations then these persons or organisations are deemed to be jointly and severally liable to the *Employer* for the performance of this contract. | | |
| Z2.2 | Unless already notified to the *Employer*, the persons or organisations notify the *Project Manager* within two weeks of the Contract Date of the key person who has the authority to bind the *Contractor* on their behalf. | | |
| Z2.3 | The *Contractor* does not alter the composition of the joint venture, consortium or other unincorporated grouping of two or more persons without the consent of the *Employer* having been given to the *Contractor* in writing. | | |
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| **Z3** | | **Change of Broad Based Black Economic Empowerment (B-BBEE) status** | |
| Z3.1 | | Where a change in the *Contractor’s* legal status, ownership or any other change to his business composition or business dealings results in a change to the *Contractor*’s B-BBEE status, the *Contractor* notifies the *Employer* within seven days of the change. | |
| Z3.2 | | The *Contractor* is required to submit an updated verification certificate and necessary supporting documentation confirming the change in his B-BBEE status to the *Project Manager* within thirty days of the notification or as otherwise instructed by the *Project Manager*. | |
| Z3.3 | | Where, as a result, the *Contractor’s* B-BBEE status has decreased since the Contract Date the *Employer* may either re-negotiate this contract or alternatively, terminate the *Contractor*’s obligation to Provide the Works. | |
| Z3.4 | | Failure by the *Contractor* to notify the *Employer* of a change in its B-BBEE status may constitute a reason for termination. If the *Employer* terminates in terms of this clause, the procedures on termination are P1, P2 and P3 as stated in clause 92, and the amount due is A1 and A3 as stated in clause 93. | |
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| **Z4** | **Ethics** | | |
| Z4.1 | Any offer, payment, consideration, or benefit of any kind made by the *Contractor*, which constitutes or could be construed either directly or indirectly as an illegal or corrupt practice, as an inducement or reward for the award or in execution of this contract constitutes grounds for terminating the *Contractor*’s obligation to Provide the Works or taking any other action as appropriate against the *Contractor* (including civil or criminal action). | | |
| Z4.2 | The *Employer* may terminate the *Contractor*’s obligation to Provide the Works if the *Contractor* (or any member of the *Contractor* where the *Contractor* constitutes a joint venture, consortium or other unincorporated grouping of two or more persons or organisations) is found guilty by a competent court, administrative or regulatory body of participating in illegal or corrupt practices.  Such practices include making of offers, payments, considerations, or benefits of any kind or otherwise, whether in connection with any procurement process or contract with the *Employer* or other people or organisations and including in circumstances where the *Contractor* or any such member is removed from the an approved vendor data base of the *Employer* as a consequence of such practice. | | |
| Z4.3 | Notwithstanding the provisions of core clause 90.2, the procedures on termination in terms of this clause are P1, P2 and P3 as stated in the core clause 92 and the amount due is A1 and A3 as stated in core clause 93. | | |
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| **Z5** | **Confidentiality** | | |
| Z5.1 | The *Contractor* does not disclose or make any information arising from or in connection with this contract available to Others. This undertaking does not, however, apply to information which at the time of disclosure or thereafter, without default on the part of the *Contractor*, enters the public domain or to information which was already in the possession of the *Contractor* at the time of disclosure (evidenced by written records in existence at that time). Should the *Contractor* disclose information to Others in terms of clause 25.1, the *Contractor* ensures that the provisions of this clause are complied with by the recipient. | | |
| Z5.2 | If the *Contractor* is uncertain about whether any such information is confidential, it is to be regarded as such until notified otherwise by the *Project Manager*. | | |
| Z5.3 | In the event that the *Contractor* is, at any time, required by law to disclose any such information which is required to be kept confidential, the *Contractor*, to the extent permitted by law prior to disclosure, notifies the *Employer* so that an appropriate protection order and/or any other action can be taken if possible, prior to any disclosure. In the event that such protective order is not, or cannot, be obtained, then the *Contractor* may disclose that portion of the information which it is required to be disclosed by law and uses reasonable efforts to obtain assurances that confidential treatment will be afforded to the information so disclosed. | | |
| Z5.4 | The taking of images (whether photographs, video footage or otherwise) of the *works* or any portion thereof, in the course of Providing the Works and after Completion, requires the prior written consent of the *Project Manager*. All rights in and to all such images vests exclusively in the *Employer*. | | |
| Z5.5 | The *Contractor* ensures that all his subcontractors abide by the undertakings in this clause. | | |
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| **Z6** | **Waiver and estoppel: Add to core clause 12.3:** | | |
| Z6.1 | Any extension, concession, waiver or relaxation of any action stated in this contract by the Parties*,* the *Project Manager*, the *Supervisor*, or the *Adjudicator* does not constitute a waiver of rights, and does not give rise to an estoppel unless the Parties agree otherwise and confirm such agreement in writing. | | |
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| **Z7** | | **Health, safety and the environment: Add to core clause 27.4** | |
| Z7.1 | | The *Contractor* undertakes to take all reasonable precautions to maintain the health and safety of persons in and about the execution of the *works*. Without limitation the *Contractor*:   * accepts that the *Employer* may appoint him as the “Principal Contractor” (as defined and provided for under the Construction Regulations 2014 (promulgated under the Occupational Health & Safety Act 85 of 1993) (“the Construction Regulations”) for the Site; * warrants that the total of the Prices as at the Contract Date includes a sufficient amount for proper compliance with the Construction Regulations, all applicable health & safety laws and regulations and the health and safety rules, guidelines and procedures provided for in this contract and generally for the proper maintenance of health & safety in and about the execution of *works*; and * undertakes, in and about the execution of the *works*, to comply with the Construction Regulations and with all applicable health & safety laws and regulations and rules, guidelines and procedures otherwise provided for under this contract and ensures that his Subcontractors, employees and others under the *Contractor’s* direction and control, likewise observe and comply with the foregoing. | |
| Z7.2 | | The *Contractor*, in and about the execution of the *works*, complies with all applicable environmental laws and regulations and rules, guidelines and procedures otherwise provided for under this contract and ensures that his Subcontractors, employees and others under the *Contractor’s* direction and control, likewise observe and comply with the foregoing. | |
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| **Z8** | **Provision of a Tax Invoice and interest. Add to core clause 51** | | |
| Z8.1 | Within one week of receiving a payment certificate from the *Project Manager* in terms of core clause 51.1, the *Contractor* provides the *Employer* with a tax invoice in accordance with the *Employer*'s procedures stated in the Works Information, showing the amount due for payment equal to that stated in the payment certificate. | | |
| Z8.2 | If the *Contractor* does not provide a tax invoice in the form and by the time required by this contract, the time by when the *Employer* is to make a payment is extended by a period equal in time to the delayed submission of the correct tax invoice. Interest due by the *Employer* in terms of core clause 51.2 is then calculated from the delayed date by when payment is to be made. | | |
| Z8.3 | The *Contractor* (if registered in South Africa in terms of the companies Act) is required to comply with the requirements of the Value Added Tax Act, no 89 of 1991 (as amended) and to include the *Employer*’s VAT number 4740101508 on each invoice he submits for payment. | | |
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| **Z9** | **Notifying compensation events** | | |
| Z9.1 | Delete from the last sentence in core clause 61.3, “unless the *Project Manager* should have notified the event to the *Contractor* but did not”. | | |
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| **Z10** | ***Employer’s* limitation of liability** | | |
| Z10.1 | The *Employer’s* liability to the *Contractor* for the *Contractor’s* indirect or consequential loss is limited to R0.00 (zero Rand) | | |
| Z10.2 | The *Contractor*’s entitlement under the indemnity in 83.1 is provided for in 60.1(14) and the *Employer*’s liability under the indemnity is limited. | | |
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| **Z11** | **Termination: Add to core clause 91.1, at the second main bullet point, fourth sub-bullet point, after the words "against it":** | | |
| Z11.1 | or had a business rescue order granted against it. | | |
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| **Z12** | **Addition to secondary Option X7 Delay damages (if applicable in this contract)** | | |
| Z12.1 | If the amount due for the *Contractor*’s payment of delay damages reaches the limits stated in this Contract Data for Option X7 or Options X5 and X7 used together, the *Employer* may terminate the *Contractor*’s obligation to Provide the Works using the same procedures and payment on termination as those applied for reasons R1 to R15 or R18 stated in the Termination Table. | | |
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# Annexure B: Insurance provided by the Employer

*These notes are provided as guidance to tendering contractors and the Contractor about the insurance provided by the Employer. The Contractor must obtain its own advice. Details of the insurance itself are available from the internet web link given below.*

1. For the purpose of works contracts, insurance provided by Eskom (the *Employer*) has been arranged on the basis of “project” or “contract” value, where the value is the total of the Prices at Completion of the whole of the works including VAT.

A “project” is a collection of contracts or work packages to be undertaken as part of a single identified capital expansion or refurbishment of a particular asset or facility.

A “contract” is a single contract not linked to or being part of a “project”.

1. For ECC3 there are three main “formats” of cover and deductible structure; Format A, Format B and Format Dx.

**Format A** is for a project or contract value less than or equal to R350M (three hundred and fifty million Rand) inclusive of VAT.

**Format B** is for a project or contract value greater than R350M .(three hundred and fifty million Rand) inclusive of VAT.

In the case of contracts / packages within a project:

* For a contract / package of R50M which is part of a R400M project, Format B will apply
* For a contract / package of R250M which is part of a R6 billion project, Format B will apply;
* For a contract / package of R120M which is part of a R350M project Format A will apply;

For a contract which is not part of a project the same limits apply:

* For a contract of R50M, Format A will apply
* For a contract of R355M, Format B will apply.

**Format Dx** applies only to Distribution Division projects and contracts. If a Distribution Division project or contract exceeds the Format A limit, the Eskom Insurance Management Services [EIMS] need to be contacted for advice on how to formulate the insurance cover. Cover and deductibles for Distribution Division are per the relevant policy available on the internet web link given below.

**Format A generally applies to Transmission Division** projects and contracts. If a Transmission Division project or contract exceeds the Format A limit, the Eskom Insurance Management Services [EIMS] need to be contacted for advice on how to formulate the insurance cover.

1. Tendering contractors should note that cover provided by the *Employer* is only per the policies available on the internet web link listed below and may not be the cover required by the tendering contractor or as intended by each of the listed insurances in the left hand column of the Insurance Table in clause 84.2. In terms of clause 84.1 “the *Contractor* provides the insurances stated in the Insurance Table except any insurance which the *Employer* is to provide”. Hence the *Contractor* provides insurance which the *Employer* does not provide and in cases where the *Employer* does provide insurance the *Contractor* insures for the difference between what the Insurance Table requires and what the *Employer* provides.
2. When the Marine Insurance is required the *Contractor* needs to obtain a copy of the latest edition of Eskom’s Marine Policies Procedures found at internet website given below.

**Further information and full details of all Eskom provided policies and procedures may be obtained from:** [**http://www.eskom.co.za/live/content.php?Item\_ID=9248**](http://www.eskom.co.za/live/content.php?Item_ID=9248)